

# Bioinformatics for Biologists

## Sequence Analysis: Part I. Pairwise alignment and database searching

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# Topics to Cover

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- Introduction
- Scoring alignments
- Alignment methods
- Significance of alignments
- Database searching methods

# Topics to Cover

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- Introduction
  - Why do alignments?
  - A bit of history
  - Definitions
- Scoring alignments
- Alignment methods
- Significance of alignments
- Database searching methods

Simian sarcoma virus onc gene, v-sis, is derived from the gene (or genes) encoding a platelet-derived growth factor.

Doolittle RF, Hunkapiller MW, Hood LE, Devare SG, Robbins KC, Aaronson SA, Antoniades HN. *Science* 221:275-277, 1983.

# Evolutionary Basis of Sequence Alignment

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- *Similarity* - observable quantity, such as percent identity
- *Homology* - conclusion drawn from data that two genes share a common evolutionary history; no metric is associated with this

# Some Definitions

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- An *alignment* is a mutual arrangement of two sequences, which exhibits where the two sequences are similar, and where they differ.
- An *optimal alignment* is one that exhibits the most correspondences and the least differences. It is the alignment with the highest score. May or may not be biologically meaningful.

# Alignment Methods

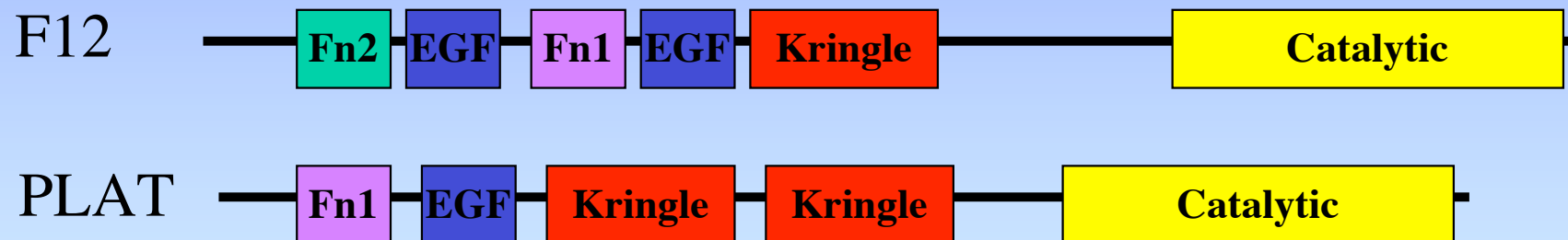
- ***Global alignment*** - Needleman-Wunsch (1970) maximizes the number of matches between the sequences along the entire length of the sequences.
- ***Local alignment*** - Smith-Waterman (1981) is a modification of the dynamic programming algorithm giving the highest scoring local match between two sequences.

# Alignment Methods

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**Global vs Local**

**Modular proteins**





# Local vs Global Alignment

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L G P S S K Q T G K G S - S R I W D N  
L N - I T K S A G K G A I M R L G D A

GLOBAL

- - - - - - - T G K G - - - - - - -  
- - - - - - - A G K G - - - - - - -

LOCAL

From Mount, *Bioinformatics*, 2004, pg 71

# Possible Alignments

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**A:    T C A G A C G A G T G**

**B:    T C G G A G C T G**

**I.    T C A G A C G A G T G**

**T C G G A - - G C T G**

**II.    T C A G A C G A G T G**

**T C G G A - G C - T G**

**III.    T C A G A C G A G T G**

**T C G G A - G - C T G**

# Topics to Cover

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- Introduction
- Scoring alignments
  - Nucleotide vs Proteins
  - Definitions
- Alignment methods
- Significance of alignments
- Database searching methods

# Example of simple scoring system for nucleic acids

- Match = +1 (ex. A-A, T-T, C-C, G-G)
- Mismatch = -1 (ex. A-T, A-C, etc)
- Gap opening = - 5
- Gap extension = -2

T C A G A C G A G T G

T C G G A - - G C T G

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+1 +1 -1 +1 +1 -5 -2 -1 -1 +1 +1 = -4

# Possible Alignments

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A: T C A G A C G A G T G

B: T C G G A G C T G

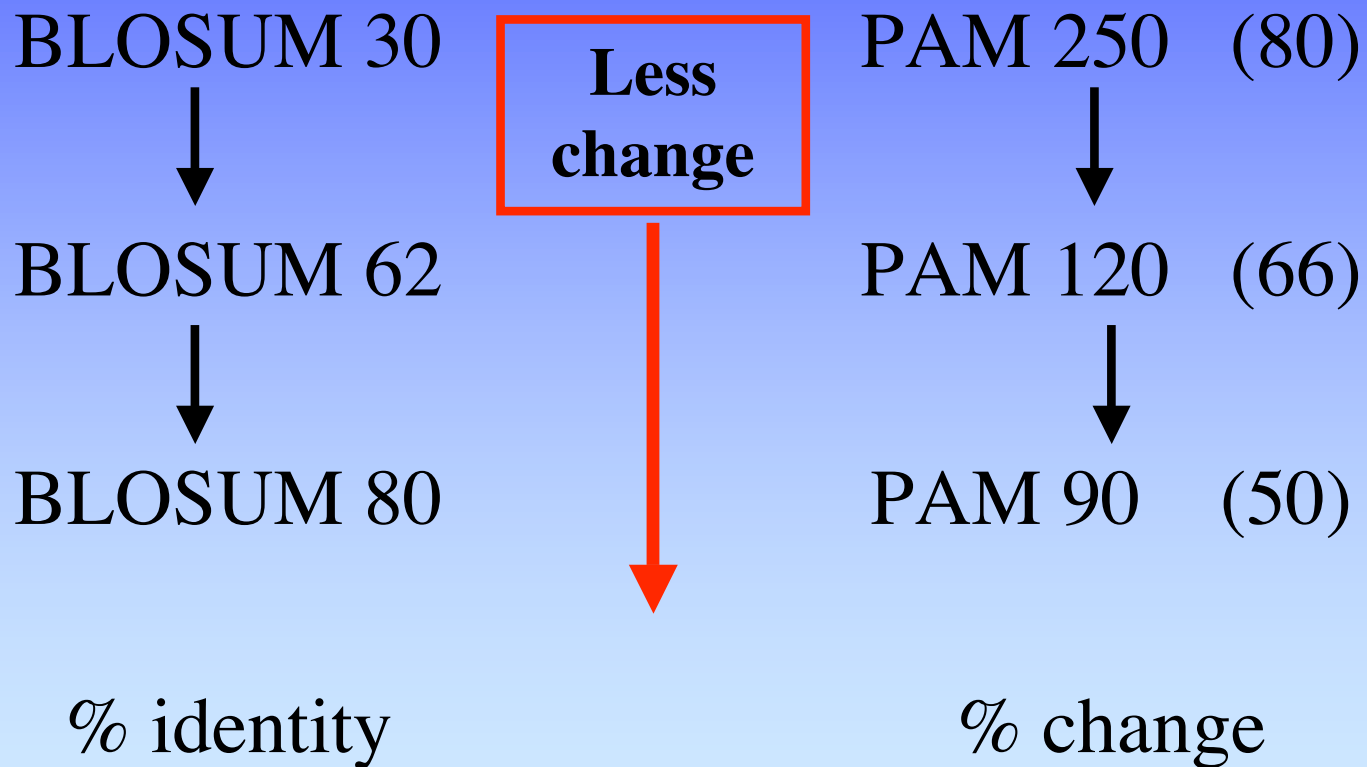
I.	T	C	A	G	A	C	G	A	G	T	G	-4
	T	C	G	G	A	-	-	G	C	T	G	
II.	T	C	A	G	A	C	G	A	G	T	G	-5
	T	C	G	G	A	-	G	C	-	T	G	
III.	T	C	A	G	A	C	G	A	G	T	G	-5
	T	C	G	G	A	-	G	-	C	T	G	

# Amino Acid Substitution Matrices

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- **PAM** - point accepted mutation based on *global* alignment [evolutionary model]
- **BLOSUM** - block substitutions based on *local* alignments [similarity among conserved sequences]

# Substitution Matrices



# Part of BLOSUM 62 Matrix

	C	S	T	P	A	G	N
C	9						
S	-1	4					
T	-1	1	5				
P	-3	-1	-1	7			
A	0	1	0	-1	4		
G	-3	0	-2	-2	0	6	
N	-3	1	0	-2	-2	0	

**Log-odds =  $\frac{\text{obs freq of aa substitutions}}{\text{freq expected by chance}}$**



# Part of PAM 250 Matrix

	C	S	T	P	A	G	N
C	12						
S	0	2					
T	-2	1	3				
P	-3	1	0	6			
A	-2	1	1	1	2		
G	-3	1	0	-1	1	5	
N	-4	1	0	-1	0	0	

**Log-odds =  $\frac{\text{pair in homologous proteins}}{\text{pair in unrelated proteins by chance}}$**

# Gap Penalties

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- *Insertion and Deletions* (indels)
- *Affine gap costs* - a scoring system for gaps within alignments that charges a penalty for the existence of a gap and an additional per-residue penalty proportional to the gap's length

# Scoring for BLAST 2 Sequences

Score = 94.0 bits (230), Expect = 6e-19

Identities = 45/101 (44%), Positives = 54/101 (52%), Gaps = 7/101 (6%)

Query: 204 YTGPFCDV----DTKASCYDGRGLSYRGLARTTLSGAPCQPWASEATYRNVTAEQ---AR 256

Y+ FC + + CY G G +YRG T SGA C PW S V Q A+

Sbjct: 198 YSSEFCSTPACSEGNSDCYFGNGSAYRGTLSLTESGASCLPWNSMILIGKVYTAQNPSAQ 257

Query: 257 NWGLGGHAFCRNPNDIRPWCFLNRDRLSWEYCDLAQCQT 297

GLG H +CRNPD D +PWC VL RL+WEYCD+ C T

Sbjct: 258 ALGLGKHNYCRNPDGDAKPWCHVLKNRRLTWEYCDVPSCST 298

Based on  
BLOSUM62

Position	1:	Y	-	Y	=	7
Position	2:	T	-	S	=	1
Position	3:	G	-	S	=	0
Position	4:	P	-	E	=	-1
		.	.	.		
Position	9:	-	-	P	=	-11
Position	10:	-	-	A	=	-1
		.	.	.		
					<b>Sum</b>	<b>230</b>

# Topics to Cover

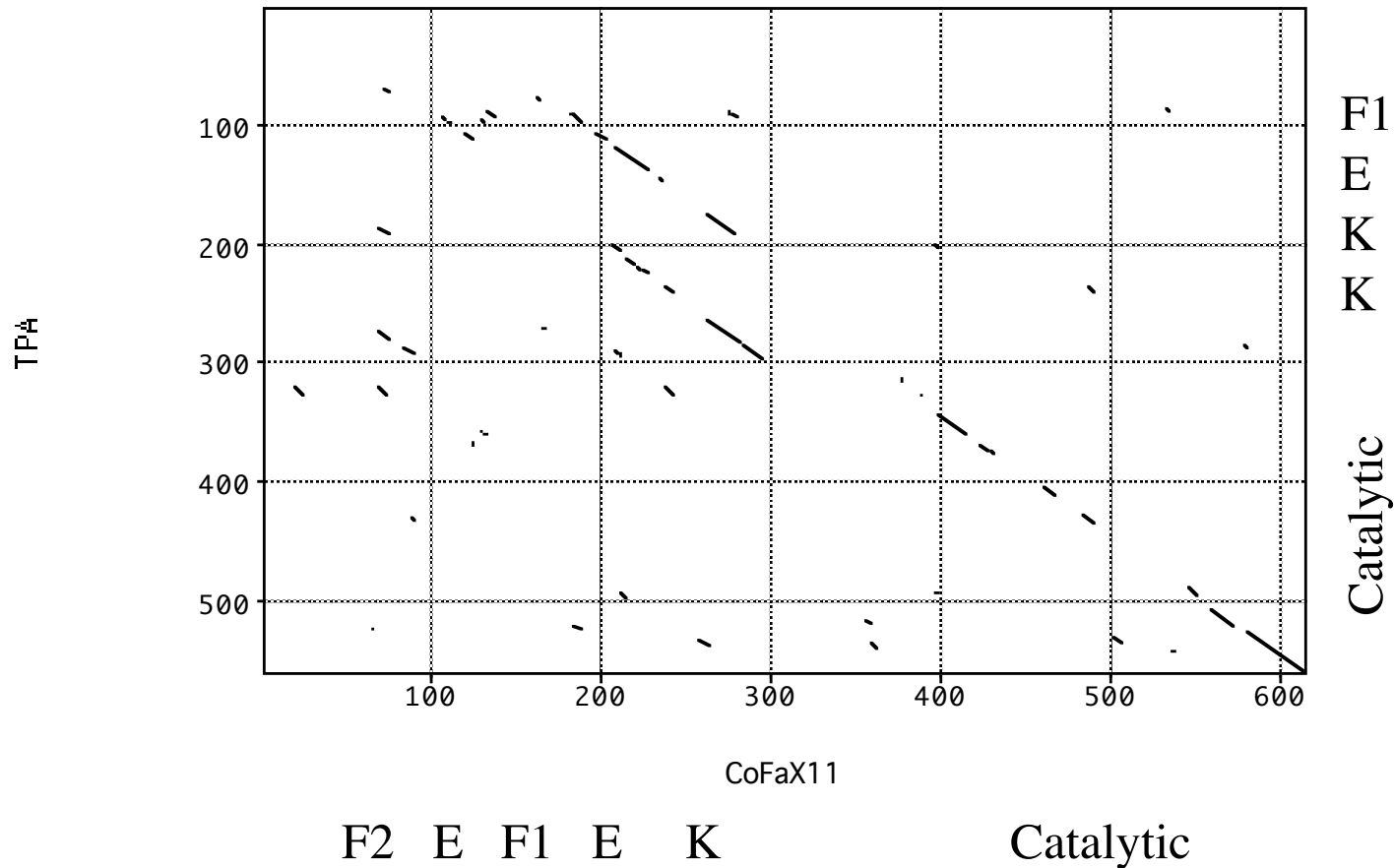
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- Introduction
- Scoring alignments
- Alignment methods
  - Dot matrix analysis
  - Exhaustive methods; Dynamic programming algorithm (Smith-Waterman (Local), Needleman-Wunsch (Global))
  - Heuristic methods; Approximate methods; word or k-tuple (FASTA, BLAST, BLAT)
- Significance of alignments
- Database searching methods

# Dot Matrix Comparison

Window Size = 8  
Min. % Score = 50  
Hash Value = 2

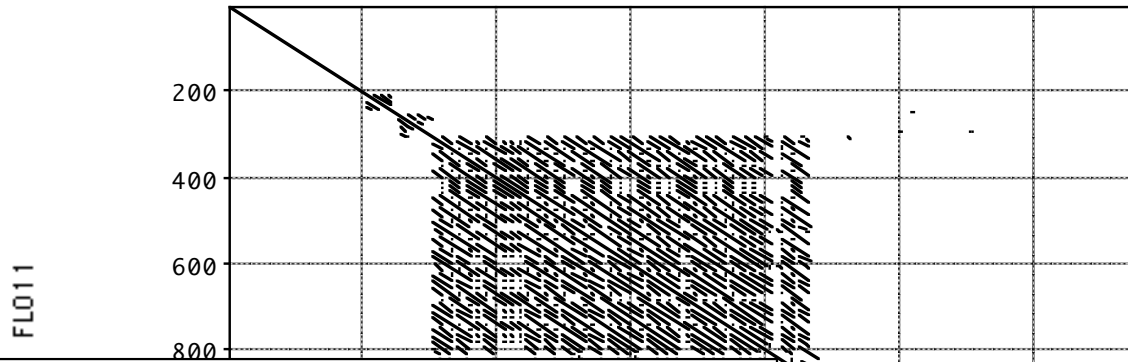
Scoring Matrix: pam250 matrix



# Dot Matrix Comparison

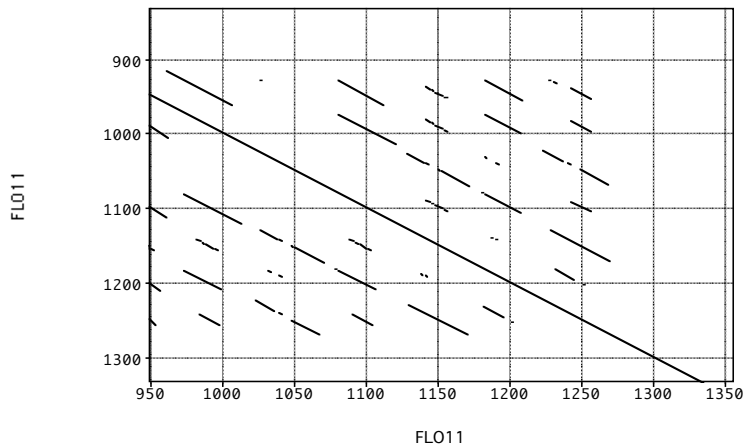
Window Size = 16  
Min. % Score = 60  
Hash Value = 2

Scoring Matrix: pam250 matrix



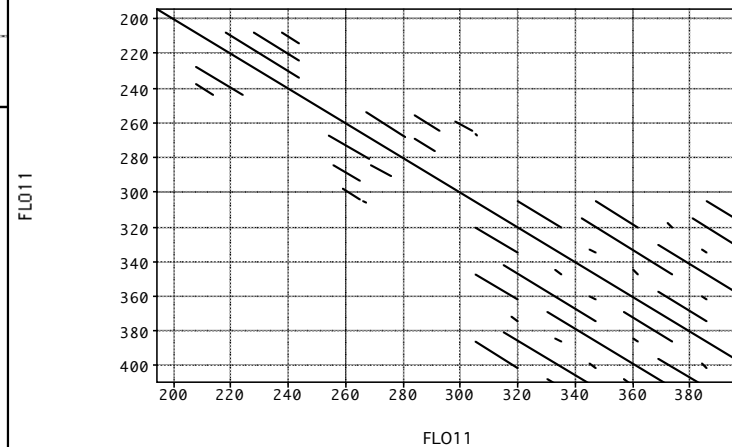
Window Size = 16  
Min. % Score = 60  
Hash Value = 2

Scoring Matrix: pam250 matrix



Window Size = 16  
Min. % Score = 60  
Hash Value = 2

Scoring Matrix: pam250 matrix



# Dynamic Programming

- Provides very best or optimal alignment
- Compares every pair of characters (e.g. bases or amino acids) in the two sequences
- Puts in gaps and mismatches
- Maximum number of matches between identical or related characters
- Generates a score and statistical assessment
- Nice example of global alignment using N-W:  
<http://www.sbc.su.se/~per/molbioinfo2001/dynprog/dynamic.html>

# BLAST Algorithm (1990)

## "Ungapped" alignment

- To improve speed, use a word based hashing scheme to index database
- Limit search for similarities to only the region near matching words
- Use **Threshold** parameter to rate neighbor words
- Extend match left and right to search for high scoring alignments



# Original BLAST Algorithm (1990)

Query word (W=3)

Query: GSVEDTTGSQSLAALLNKCKT **PQG** QRLVNQWIKQPLM

Neighborhood  
words

PQG	18	PHG	13
PEG	15	PMG	13
PNG	13	PTG	12
PDG	13	Etc.	

Neighborhood  
Score  
threshold  
(T=13)



Query: 325	SLAALLNKCKT <b>PQG</b> QRLVNQWIKQPLMDKNRIEERLNLVEA
	+LA++L+ TP G R++ +W+ P+ D + ER I A
Sbjct: 290	TLASVLDCTVT <b>PMG</b> SRMLKRWLHMPVRDTRVLLERQQTIGA

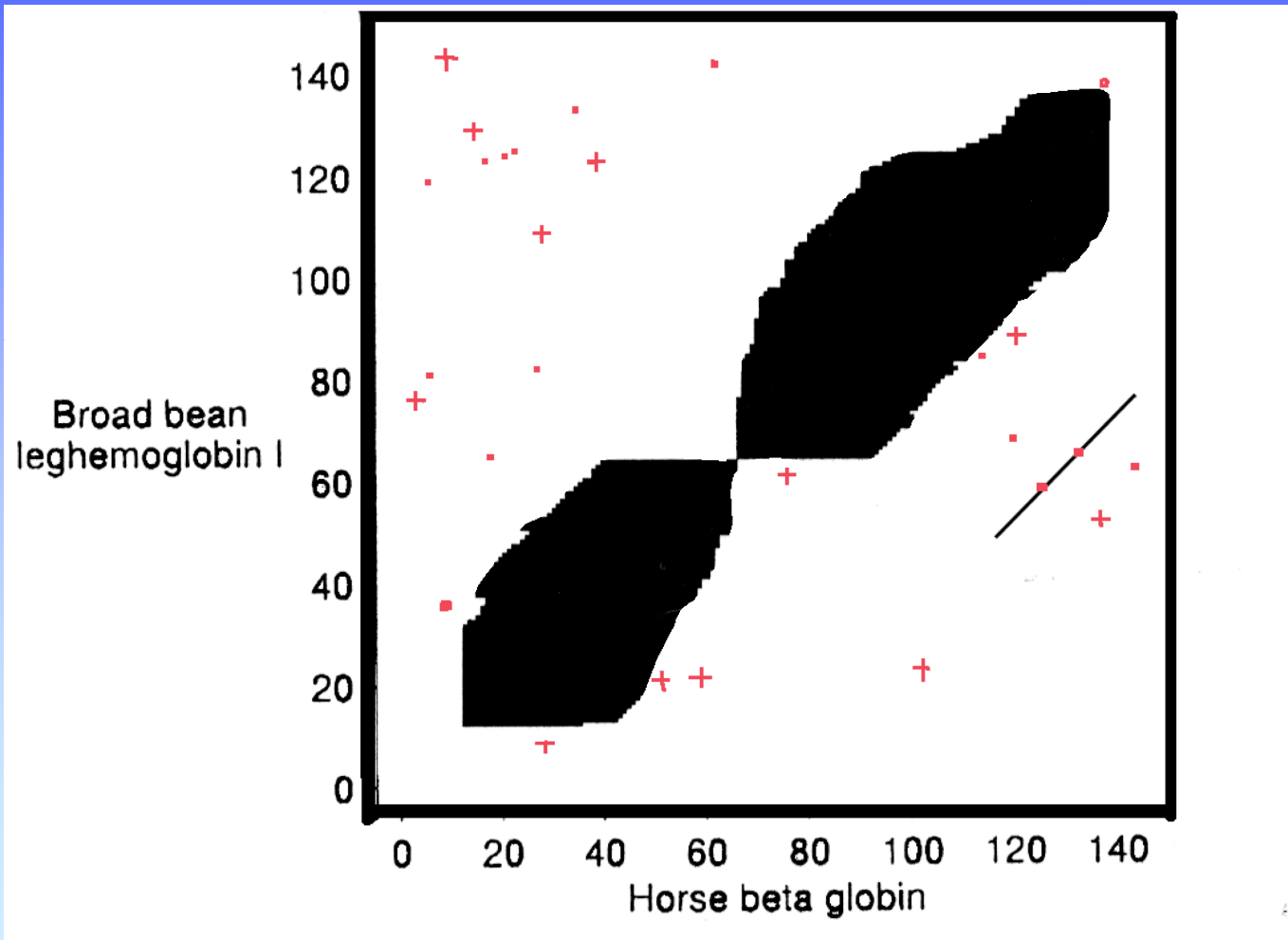
# BLAST Refinements (1997)

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- “two-hit” method for extending word pairs
- Gapped alignments
- Additional algorithms
  - Iterate with position-specific matrix (PSI-BLAST)
  - Pattern-hit initiated BLAST (PHI-BLAST)

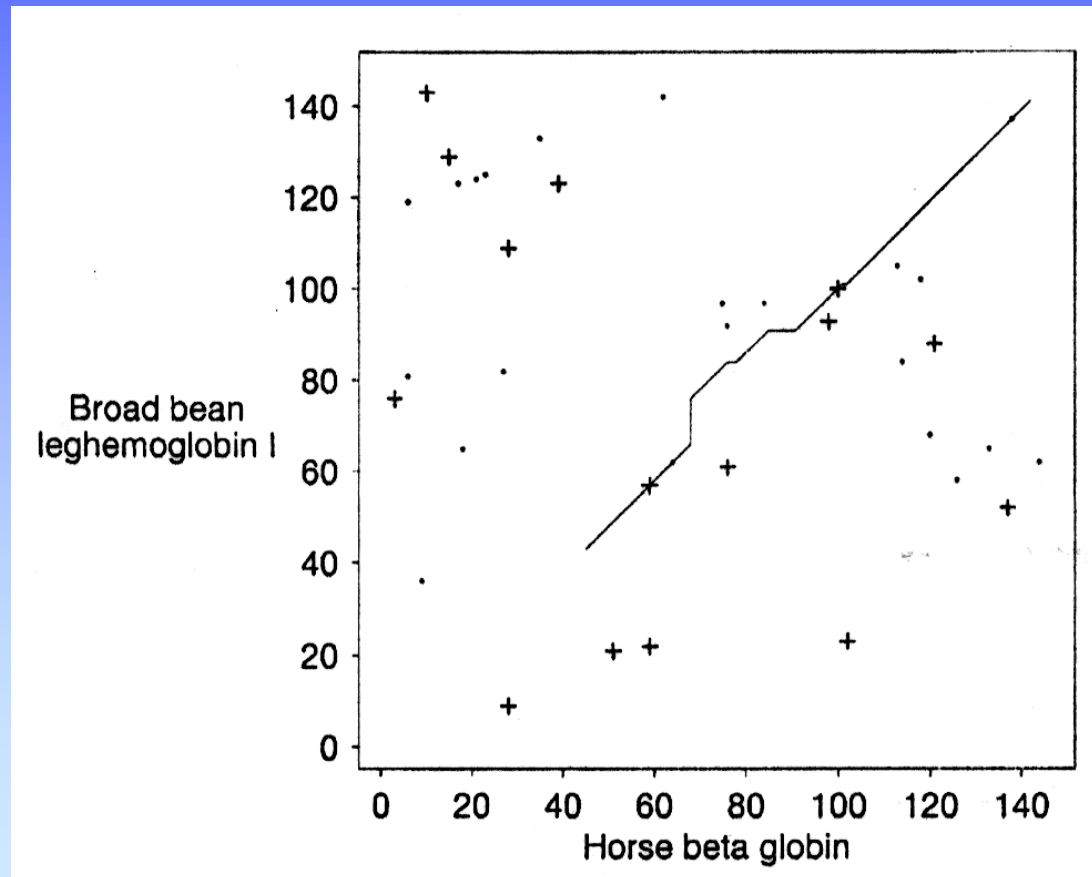
# Gapped BLAST

15(+) > 13  
22(•) > 11



(Altschul et al 1997)

# Gapped BLAST



(Altschul et al 1997)

# Programs to Compare two sequences - Unix or Web

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## NCBI

BLAST 2 Sequences

## EMBOSS

water - Smith-Waterman

needle - Needleman - Wunsch

dotmatch (dot plot)

einverted or palindrome (inverted repeats)

equicktandem or etandem (tandem repeats)

## Other

lalign (multiple matching subsegments in two sequences)

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- Significance of alignments
  - How strong can alignment be by chance alone?
- Database searching methods

# Statistical Significance

- **Raw Scores** - score of an alignment equal to the sum of substitution and gap scores.
- **Bit scores** - scaled version of an alignment's raw score that accounts for the statistical properties of the scoring system used.
- **E-value** - expected number of distinct alignments that would achieve a given score by chance. Lower E-value => more significant.

# Some formulas

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$$E = Kmn e^{-\lambda S}$$

This is the **E**xpected number of high-scoring segment pairs (HSPs) with score at least **S** for sequences of length  $m$  and  $n$ .

This is the **E** value for the score **S**.



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- Significance of alignments
- Database searching methods
  - BLAST
  - BLAST vs. FASTA
  - BLAT

# Questions

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- Why do a database search?
- What database should be searched?
- What alignment algorithm to use?
- What do the results mean?
- What parameters can be changed?
  - Substitution matrices
  - Statistical significance
  - Filtering for low complexity

# BLASTP Results

Sequences producing significant alignments:	Score (bits)	E Value	
<a href="#">gi 4557761 ref NP_000242.1 </a> mutS homolog 2 [Homo sapiens] >...	<a href="#">1778</a>	0.0	<a href="#">G</a>
<a href="#">gi 52632375 gb AAU85549.1 </a> mismatch repair protein [Cercopi...	<a href="#">1763</a>	0.0	
<a href="#">gi 57093317 ref XP_538482.1 </a> PREDICTED: similar to DNA mism...	<a href="#">1682</a>	0.0	<a href="#">G</a>
<a href="#">gi 1079805 gb AAA82080.1 </a> similar to S. cerevisiae Msh2p (S...	<a href="#">1675</a>	0.0	<a href="#">G</a>
<a href="#">gi 55733310 emb CAH93337.1 </a> hypothetical protein [Pongo pyg...	<a href="#">1675</a>	0.0	
<a href="#">gi 6678938 ref NP_032654.1 </a> mutS homolog 2 [Mus musculus] >...	<a href="#">1658</a>	0.0	<a href="#">G</a>
<a href="#">gi 726086 gb AAA75027.1 </a> MutS homolog 2	<a href="#">1657</a>	0.0	<a href="#">G</a>
<a href="#">gi 30047836 gb AAH50897.1 </a> MutS homolog 2 [Mus musculus]	<a href="#">1656</a>	0.0	<a href="#">G</a>
<a href="#">gi 13591999 ref NP_112320.1 </a> mismatch repair protein 2 [Rat...	<a href="#">1646</a>	0.0	<a href="#">G</a>
<a href="#">gi 1000885 gb AAB59572.1 </a> The deletion results in premature...	<a href="#">1503</a>	0.0	<a href="#">G</a>

**Alignments**

>[gi|4557761|ref|NP\\_000242.1|](#) [G](#) mutS homolog 2 [Homo sapiens]  
Score = 1778 bits (4606), Expect = 0.0  
Identities = 911/934 (97%), Positives = 911/934 (97%)

# WU-BLAST vs NCBI BLAST

- WU-BLAST first for gapped alignments
- Use different scoring system for gaps
- Report different statistics
- WU-BLAST does not filter low-complexity by default
- WU-BLAST looks for and reports multiple regions of similarity
- Results will be different!

# BLAT

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- *B*last-*L*ike *A*lignment *T*ool
- Developed by Jim Kent at UCSC
- For DNA it is designed to quickly find sequences of  $\geq 95\%$  similarity of length 40 bases or more.
- For proteins it finds sequences of  $\geq 80\%$  similarity of length 20 amino acids or more.
- DNA BLAT works by keeping an index of the entire genome in memory - non-overlapping 11-mers ( $< 1$  GB of RAM)
- Protein BLAT uses 4-mers ( $\sim 2$  GB)

# FASTA

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- Index "words" and locate identities
- Rescore best 10 regions
- Find optimal subset of initial regions that can be joined to form single alignment
- Align highest scoring sequences using Smith-Waterman

**NEW** 15 Nov 2004 Download the [BLAST poster](#) from [SC2004!](#)

## About BLAST

- News
- Mailing list
- References
- NCBI Contributors

## BLAST Services

- FAQs
- Program selection guide
- Web service interface

## BLAST Software

- Databases
- Documentation
- Errata
- Executables
- Source code

## Support

- Contact us

### Nucleotide

- Quickly search for highly similar sequences (megablast)
- Quickly search for divergent sequences (discontiguous megablast)
- Nucleotide-nucleotide BLAST (blastn)
- Search for short, nearly exact matches
- Search trace archives with megablast or discontiguous megablast

### Protein

- Protein-protein BLAST (blastp)
- PHI- and PSI-BLAST
- Search for short, nearly exact matches
- Search the conserved domain database (rpsblast)
- Search by domain architecture (cdart)

### Translated

- Translated query vs. protein database (blastx)
- Protein query vs. translated database (tblastn)
- Translated query vs. translated database (tblastx)

### Genomes

- Chicken, cow, pig, dog, sheep, cat
- Environmental samples
- Human, mouse, rat
- Fugu rubripes, zebrafish
- Insects, nematodes, plants, fungi, malaria
- Microbial genomes, other eukaryotic genomes

### Special

- Search for gene expression data (GEO BLAST)
- Align two sequences (bl2seq)
- Screen for vector contamination (VecScreen)
- Immunoglobulin BLAST (IgBlast)
- SNP BLAST **NEW**

### Meta

- Retrieve results by RID

# Basic Searching Strategies

- Search early and often
- Use specialized databases
- Use multiple matrices
- Use filters
- Consider Biology



# Exercises

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## 1. Working with Entrez at NCBI

1. Limits, history, preview
2. Batch Entrez

## 2. Pairwise alignment and database searching

1. Self comparison with dottup
2. Local vs. global alignment
3. Sequence revision history, ReadSeq and BL2SEQ
4. BLAT searching
5. Comparing BLAST, WU-BLAST, and FASTA
6. The Lost World
7. Redo exercises on hebrides

# References

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## 1. Class web site:

- <http://jura.wi.mit.edu/bio/education/bioinfo2005/seq>

## 2. Books

- David Mount - Bioinformatics: Sequence and Genome Analysis, 2nd edition, CSHL Press, 2004.
- Andreas D. Baxevanis and B. F. Francis Ouellette (editors) - Bioinformatics: A Practical Guide to the Analysis of Genes and Proteins, 3rd edition, Wiley, 2004.